

TEMPLATE
For furnishing
ANNUAL IMPLEMENTATION PLAN (AIP) FOR 2016-17



Swachh Bharat Mission (Gramin)
Ministry of Drinking Water and Sanitation
Government of India
District Shajahanpur
2016-17

BASIC INFORMATION

1) State

UTTAR PRADESH

2) Implementing Agency:

STATE /DISTRICT LEVEL:(SWSM)/SRDA/Sanitation Mission/Other State Govt. agency

Chairman of Executive Committee of the State Committee/Agency

(by Designation)

District magistrate Vijay Kiran Anand

CDO Pulkit Khare

DPRO Chandrika Prasad

3) Address for communication District panchayat raj officer, vikas bhawan, Shahjahanpur

Phone: 05842-224836 (O) 9415776275 (M)

Email: dprosj-up@nic.in

4) Account details of SWSM/SRDA/CCDU/District Level other agency of State Government

Account No:10998707521

Name of Bank :State bank of india

Branch :Town hall , Shahjahanpur

IFSC Code :SBIN0003085

5) SBM(G) project profile

Total number of Rural Districts in the State:

Total SBM(G) District Project Sanctioned:

Total Cost of the project Sanctioned:

Total expenditure till date (of state including all Districts) :

Balance fund available (of state including all Districts) :

Percentage of Rural Households covered with toilets in the State/Districts :



2015-16**(a) Sanitation Coverage(State/District)**

	Sanitation Coverage as on 01.04.2015	Sanitation Coverage as on 28.02.2016	Expected Sanitation Coverage as on 31.3.2016
Rural Sanitation Coverage	46.50	48.17	48.17

(b) Open Defecation Free Status(State/District)

	Target for 2015-16	Achievement as on 28.02.2016	Expected Achievement as on 31.3.2016
Gram Panchayats Identified for ODF	21	00	00
Village Identified for ODF	-	-	-

(c) Please provide a Note on the ODF verification Process adopted in your State (along with copy of State circulars)

At the district level, after the receipt of the Gram panchayat (verification unit) resolution of a GP being declared ODF, the resolution is submitted to the District Level Appraisal Committee headed by the Chief Development Officer, Chief Medical Officer, District Programme Officer & District Economic & Statistics Officer, District Co-ordinator UNICEF & District Co-ordinator WHO. The District Appraisal Committee would adopt different measures to verify the claim of GP of being ODF as per the guidelines and checklist issued under SBM. The Appraisal Committee prominently adopts one of the below two mechanisms : 1.5 Member Teams are constituted to do an independent field survey of GP, among the trainees empanelled in the Skills Development Mission as part of their Soft Skill Employment Module to develop inter-personal skills, group dynamics, personality development and so on. The Master Trainer of the Batch will accompany this group in the field survey. Incentive system is laid down for this Team, if they are able to refute the claim of the GP of being ODF with evidence, a cash reward of Rs. 2000 is granted to them. They are also empowered to do such a field survey effectively and professionally by training them, providing logistical support and so on. They would be undertaking detailed presentations of their findings in front of the appraisal committee 2.Teams are requested from other districts of the Commissionerate duly constituted by the Deputy Director of Panchayat Raj Department to do a cross verification of the claim of the GP under the guidelines of SBM, if they are able to refute the claim of the GP of being ODF with evidence, a cash reward of Rs. 2000 is granted to them. In these field surveys, the crucial focus area would be to test the sustainability of these GPs to remain ODF and the strength of the Community movement and sustainable behavior change seen among the community. After the field survey, results are analysed by the appraisal committee, either to submit before the District Water and Sanitation Committee for accepting the GP to be ODF or send the proposal back to the GP with the findings of the survey and inputs as required.



(d) Physical Progress

(The distinct- wise status to be enclosed)

TABLE 1

Components	Annual Objective for year 2015-16	Achievement for the year 2015-16 (Upto Feb, 2016)	% Achievement Against Objectives 2015-16	Expected Achievement as on 31.3.2016
IHHL - APLs	19150	8703	45.44	8703
IHHL-BPLs	6970	776	11.13	776
IHHL-Total	26120	9479	36.29	9479
Community Sanitary Complex	30	0	0	0

Solid and Liquid Waste Management

Solid Liquid Waste Management Project/Activities has been initiated (Nos. of GPs)	Annual Objective for year 2015-16	Achievement for the year 2015-16 (Upto Feb, 2016)	% Achievement Against Objectives 2015-16	Expected Achievement as on 31.3.2016	Expenditure upto 28.2.2016	Total expected expenditure in 2015-16
	30	0	0	0	0	0

(e) Financial release and expenditure

(The district-wise status to be enclosed)

TABLE 2

(Rs. in lakh)

Share	O. B. as on 1.04.2015	Funds released during the year 2015-16	Interest Earned during the Year (if any)	Total Available Funds during the Year	Expenditure during the year 2015-16	Unspent Balance as on 31.03.2016	Anticipated Total annual expenditure upto 31/3/2016
GOI share	166.46	1142.13	10.85	1319.44	1257.86	61.58	1257.86
State Share	0.00	295.99	9.10	305.09	255.94	49.15	255.94
Beneficiaries Share	-	-	-	-	-	-	-
Total	166.46	1438.12	19.95	1624.53	1513.80	110.73	1513.80

(f) IEC /IPC activities done in 2015-16

Total No. of GPs	No. of GPs in which awareness activities targeted in 2015-16	No. of GPs in which awareness activities carried in 2015-16	No. of GPs in which triggering exercise carried upto 28.2.2016	Total No. of GPs in which awareness activates upto 31.03.2016 (2015-16)	Total No. of GPs in which triggering exercise upto 31.03.2016 (2015-16)	Expenditure incurred upto 28.2.2016	Total expected expenditure upto 31.3.2016
922	922	100	-	100	-	3.18	3.18

(g) Please provide a one page note on the key IEC/IPC activities undertaken in the District :

Training cum capacity building workshops were held at Block level & Gram panchayat level for pradhans, Safai Karmacharies, Assistant development officer (panchayat), VLWs etc.

(h) Institutional Structure For implementation of SBM (G) in your State under the following headings

Please provide a 1-2 page Note on the institutional structure for implementation of SBM(G) in your State under the following headings

- (i) Nodal Department. : Panchayati Raj Department
- (ii) Mission Director/State Coordinator with rank. : Shri Amit Gupta, Mission Director of Swachh Bharat Mission (G), Uttar Pradesh
- (iii) Institutional structure at the district level;
 - 1-Involvement of Collector; Collector is the head of the District Water and Sanitation Committee
 - 2-Involvement of CEO/CDO, Zilla Panchayat : Yes, CDO is actively involved in the implementation, fund flow and supervisory functions
- (iv) Institutional structure at the block level, Involvement of BDOs : BDO & ADO (P) are incharge of the Block Programme Management Unit and are involved in the implementation, fund flow and supervisory functions. There is also a block level task force that is constituted for implementation of the programme to ensure coordination between stakeholder departments.
- (v) Institutional structure at the village level : Gram Panchayat is the implementing unit at GP level. There is also a GP level task force that is constituted for implementation of the programme.
- (vi) Payment/Honorarium structure at District, block and village levels. : We at the district are planning to adopt different strategies for different villages based on the effectiveness criteria- 1. In some cases funds are transferred to the GP as implementing agency and toilets are constructed parallel to CLTS implementation; 2. In other cases beneficiaries are triggered and followed upon to build toilets and then are reimbursed via RTGS directly into

their accounts after due verification. At the block level and at the district level funds are reserved for IEC & HRD requirements.

- (vii) Whether there is any incentive structure linking work to achievement of outcomes- Yes, the motivators are provided for Rs. 10K incentive for taking ownership and converting a GP to ODF GP within a given time and Rs. 25k for sustaining it as ODF GP for 6 months. This amount is paid only after certification by the District Appraisal committee after adhering to the stringent deadlines.

(i) **Format for providing information on Manpower Structure**

HRD Structure for the Implementation of SBM-G		Incentive Structure for their functioning
Suggested Administrative Structure as per SBM-G		HRD Structure Available in the State
State Level	Director -1 State Coordinator -1 Consultants- HRD/IEC/M&E/SLWM/MIS/Accountant/ Data Entry Operator- Each one	
District Level	District Coordinator i/c of SMB(G)-1, Assistant Coordinator (tech)-1, Consultant- IEC/HRD/M&E cum MIS/Sanitation & Hygiene/SLWM/Accountant/ Data Entry Operator	
Block Level	Full time Block Sanitation Officer (BSO)-1, Block Coordinator /Data Entry Operator -Each One, A team of Social Mobiliser and Technical Supervisor for 20-30 GPs	
GP Level	VWSC as a Sub Committee of Gram Panchayat with 50% women members and representative of SC/ST and poorer section, Swachhta	

(J) **Training and Capacity building**

Total No. of GPs	No. of motivators planned to be trained in 2015-16	No. of motivators trained upto 31.03.2016	No. of motivators trained in community process & triggering upto 31.03.2016	Actual expenditure upto 28.2.2016
922	-	-	-	-

(k) Please provide a Note on the fund flow mechanism upto village level. Is there electronic transfer of funds/DBT? What is the usual time taken to reach funds upto village level after requirement ? How is the issue of parked funds addressed?

We at the district are planning to adopt different strategies for different villages based on the effectiveness criteria-1. In some cases funds are transferred to the GP as implementing agency and toilets are constructed parallel to CLTS implementation; 2. In other cases beneficiaries are triggered and followed upon to build toilets and then are reimbursed via RTGS directly into their accounts after due verification. At the block level and at the district level funds are reserved for IEC & HRD requirements.

Funds are transferred via NEFT/RTGS and reach the GPs immediately under CBS banking system in all branches in Shahjahanpur. Funds are transferred only as per the immediate requirement of the GP and hence the question of parked funds does not rise.

(l) Please provide a note on the technologies adopted for difficult areas ? How are local innovations encouraged ?

We are adopting the two pit standard design model of toilets and multiple rounds of trainings are conducted on technologies and dos and donts of toilet construction to masons and technical staff at the Block level and GP level. Since there are no special areas in Shahjahanpur, standard design and estimates are enforced upon. However beneficiaries and technical staff are encouraged to give feedback and effective grievance redressal of their supply chain issues is conducted on a regular basis.

(m) Please provide Note on the process of evaluations/RALU/Documentation of success stories

There is a cell constituted under the CDO to document all the learnings and findings and problems faced by the team. Also regularly, best practices across the country are studied to understand the replicability in the district and subsequently adopting the same. Also a whatsapp group is created in the district with the entire team posting successes and queries on the same with DM & CDO actively participating in the same.

2016-17

(a) Please provide an overall Note (Not more than 3 pages) on the Strategy(vision) the State to achieve goal of ODF State on/before 2019 with focus on plan for 2016-17

We are planning to achieve ODF status for Shahjahanpur by 31st march 2018. Of the 1077 GPs to be made ODF, we are planning to convert 525 GPs into ODF GPs by 31st march 2017. Our main focus area would be to bring community mobilization and behaviour change by using the various CLTS techniques and rapid toilet construction. We would embark upon the following to achieve the ambitious targets :

1. Increasing the number of Sanitation Champions from the current 120 to atleast 300 who are experts in triggering, follow up and community behavior change. These Motivators and the follow up committees would be provided with logistical support as required, IEC material for effective follow up & Incentive systems to keep their morale high. Refresher trainings will be held for Champions to ensure learnings are shared, skills enhanced, and their problems solved.
2. Increasing the size of the workforce as masons to atleast 1500 to allow us to construct 130000 toilets atleast next year. Monitoring mechanism has been created at the district and block level to ensure that atleast 500 toilets are constructed on a daily basis in GPs, this mechanism will be further strengthened. Also technical skills of our TAs, JEs, AEs will be enhanced so that they are able to monitor the construction of toilets better.
3. Verification system & Incentive system is in place for FY 16-17.

TABLE 3

Compon ents	Househol ds without toilets as per Baseline Survey 2012-13	Toilet constructed from date of Base line Survey to upto 31.03.2016	Anticipated Toilet constructed from date of Base line Survey to upto 31/3/2016	Expected Households without toilets as on 1.4.2016	Objective for the Year 2016-17	Objecti ve for the Quarte r-I APRIL TO JUNE 2016- 17	Objecti ve for Quarte r-II (July to sep 2016)	Objectiv e for Quarter -III (Oct- Dec 2016)	Objectiv e for Quarter- IV (Jan- Mar 2017)
IHHL (BPLs)	71477	2698	2698	68779	28000 //	7000	7000	7000	7000
IHHL (APLs)	219104	27167	27167	191937	102000 //	25500	25500	25500	25500

(e) Community Sanitary Complexes

Community Sanitary Complex (Actual requirement)	Total No. of GPs	Total No. of CSCs expected to be undertak en	Objective for Quarter -I (Apr-Jan 2016)	Objective for Quarter - II (Jul- Sep2016)	Objective for Quarter - III (Oct- Dec2016)	Objective for Quarter -IV(Jan- Mar2017)
	1077	30	7	7	8	8

(f) Solid and Liquid Waste Management (SLWM) Projects in 2015-16 and for 2016-17

District -wise position to be enclosed

TABLE 5

(Rs. in Lakhs)

Components	Total no. of GPs	No. of GPs in which SLWM already undertaken up to March 2016	Objectives for the Year 2016-17	
			Number	Amount (in Lakh)
No. of projects on Solid Liquid Waste management	1077	0	75	1500.00 //

4. Logistical support and HRD requirements at the block and district level will be fully in place before the end of May 16.
5. To achieve very smooth fund flow to GPs and beneficiaries, CDO and Lead District Bank of the district will work closely with the bank managers. A system will be created so that demand for funds from GPs is fulfilled within 24 hours and also the GPs are able to do MIS of their progress including photo uploading in quickest possible time. These functions of GPs will be outsourced to dedicated teams at the block level.
6. Transfer of toilet construction incentive will be handled differently for different village in some GPs funds will be transferred to GP as implementing agency in a batch of 50-100 toilets at a time and only after completion of the same, next tranche will be transferred. In some GPs, the funds will be transferred directly to the account of the beneficiaries only after their completion by the beneficiaries, as a team we will prefer this approach, Also in some villages where there are active SHGs of women they will be used as implementing agencies and motivators and fund flow will be handled through them.
7. SLWM models will be worked out for atleast 75 GPs per block converging with MNREGA and Finance Commission funds. Adopting the PRA approach, a bottom up long-term Sanitation Plan will be prepared for there GPs to showcase them as model villages. Channels from Abadi to ponds, Vermi-composts units & NADEP Compost units, Bio gas models, Dustbins to be placed at collection points, door-to-door collection, Rejuvenation of ponds etc will be tried out. DPRs are under process for these GPs.
8. For the problem of lack of School toilets, Anganwadi Toilets and some areas wherein a need is felt for CSCs, 30 such complexes will be constructed using innovative models like Eco San model, Bio Digester Model, Bio Tank Reed Bed Technology etc.
9. Planning to scale up the Menstrual Hygiene Programme working in Block right now to atleast 5 blocks through Revolving Fund amount thru Panchayat Udyog.
10. We are Planning to use low cost highly effective IEC campaigns-a. "Dabba Pheko" Nukkad Nataks in atleast 125 GPs, b. Massive Handwashing Campaign in schools, oath taking ceremonies and Video Vans to be run in schools for checking school toilets maintenance and show videos to students on best sanitation practices, c. Training workshops for Pradhans, SHGs, MNREGA beneficiaries, Masons etc d. Posters, banners, flexes with hard hitting messages will be displayed at important places. e. Block level and District level Mahotsavs will be held to celebrate ODF status honouring the follow up committees for their contribution and encouraging sustainability.
11. Convergence will be achieved with other departments like Basic Education Department, ICDS department and Health department by ensuring participation of their field workers in this programme- for example training them on Sanitation issues and CLTS techniques, making sure Sanitations is a topic of discussion on VHND sessions, Teachers are active participants in WASH programmes etc.

(b) Sanitation Coverage(State/Districts)

	Sanitation Coverage as on 28.2.2016	Expected Sanitation Coverage as on 31.3.2016	Expected Sanitation Coverage as on 31.3.2017
Rural Sanitation Coverage	48.17	48.17	78%

(c) Open Defecation Free Status(State/Districts)